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PO Box 1450

Alexandria, VA 22313-1450.

Charles J. Rupnick

(Typed opprinted name of person mailing)

(Signature of Person Mailing)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

10/052,715

Confirmation No.:

1380

Inventor

Richard A. Olzak, et al.

Filed

January 17, 2002

TC/A.U.

2827

Examiner

John B. Vigushin

Docket No.

H0001886

Customer No.:

00128

Mail Stop: Non-Fee Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## Affidavit Under 37 C.F.R. § 1.131

Sir

- I, Richard A. Olzak, resident of City of Kirkland, County of King, State of Washington, a citizen of the United States of America, declare:
- 1. On personal knowledge the invention recited in the claims of the above captioned patent application was conceived by Richard A. Olzak.
- 2. On personal knowledge the invention recited in the claims of the above captioned patent application was conceived at City of Redmond, County of King, State of Washington, United States of America.
- 3. On personal knowledge the invention recited in the claims of the above captioned patent application was conceived on or about 08-14, 2000.

Serial No. 10/052,715 Affidavit Under 37 CFR § 1.131 Reply to Office action of July 3, 2003

- 4. On personal knowledge the invention recited in the claims of the above captioned patent application was reduced to practice on or before to October 16, 2000.
- 5. On personal knowledge the invention recited in the claims of the above captioned patent application was recorded in writing in a confidential Invention Record (Docket) No. H0001886 on or before November 15, 2000.
- 6. On personal knowledge the invention recited in the claims of the above captioned patent application was disclosed in writing in a confidential Invention Record (Docket) No. H0001886 on or before November 29, 2000.
- 7. On information and belief the invention recited in the claims of the above captioned patent application was disclosed in a U.S. Provisional patent application Serial No. 60/262,474, filed in the names of Richard Olzak and Tehmosp Khan on January 17, 2001, entitled: ADAPTER FOR PLASTIC-LEADED CHIP CARRIER (PLCC) AND OTHER SURFACE MOUNT TECHNOLOGY (SMT) CHIP CARRIERS.
- 8. On information and belief the invention recited in the claims of the above captioned patent application was disclosed in a U.S. Nonprovisional patent application Serial No. 10/052,715, filed in the names of Richard Olzak and Tehmosp Khan on January 17, 2002, entitled: ADAPTER FOR PLASTIC-LEADED CHIP CARRIER (PLCC) AND OTHER SURFACE MOUNT TECHNOLOGY (SMT) CHIP CARRIERS.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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14263 - 122 <sup>nd</sup> Avenue NE		
Kirkland, Washington		
U.S.A. 98034	· · · · · · · · · · · · · · · · · · ·	
		14263 - 122 <sup>nd</sup> Avenue NE Kirkland, Washington



## H n ywell CONFIDENTIAL ATTORNEY-CLIENT PRIVILEGED

Inventi n Record (D cket) No.: H0001886

Origin Date: 11/15/2000

SBE: 1543 - Rdmnd., WA - AIR TRANSPORT & REGIONAL

Attorney(s): Suchodolski, Jeanne C

File Location: RD - Redmond, WA

Title: ADAPTER 68/44 PLCC

Inventor: Okak, Richard A

Address: 14263 122 ave. N.E., Kirkland,

**Wa** 98034

County: King

SSN: \*\*\*\*\*\*

Phone: 425-885-8220 Fax:

Supervisor: Andy Chu

425-885-8187 Citizenship: U.S.

Inventor: Khan, Tehmosp

Address: 1831 N 163rd street, shoreline,

wa 98133

SSN: \*\*\*\*\*\*\*

County: King

Phone: <del>206-553-8110</del> Fax:

Supervisor: Wendel Frost

425-885-8187 Citizenship: US

- 1. Briefly describe the technical or commercial problem or need that this invention is intended to solve. 68 PIN PLCC parts have become obsolete but are used in many products. a 44 pin PLCC is a replacementat functionally and conveniently smaller but will not attach to a printed wiring board in the footprint of the 68 pin part it replaces. Normally a board must be redesigned and re-layed out to accomodate teh new, smaller part. This takes considerabel time impacting schedules and involves financial investements that may not be easily justified on legacy products with a liminted future.
- 2. Briefly describe how this invention solves the problem or meets the need.

The adapter is an invention to accommodate the difference in footprints. It will attach to the printed wiring board in a conventional manner utilizing the noraml soldering process and then accept the smaller PLCC part and route the signals from one size PLCC to the other size PLCC without loss or interuption.

3. Describe how to make and use the invention. Please indicate which embodiment(s) are preferred and describe the best way known to you to practice the invention. Attach relevant documents. (If the invention is a device or process, please provide a drawing or flow chart.) (If you are unfamiliar with the contents and preparation of a patent application, please refer to the Guidelines for the Preparation of Invention Disclosures.

The adaper is fabricated using convetional printed wirng board construcion metnhods. Teh secret of sucess is the unique shape of the adapter pass-through conduits that allow visual alignment and verification of joint integrity on a small package frame insuring viability of all connections, which is a critical consideration on miniture devices.

Document(s):

4(a). To the best of your recollection what is the earliest date on which the invention was conceived? Who conceived the invention? Attach documents which evidence the foregoing.

Conception Date: 9-15-00

Who conceived it?: Richard Olzak

Document(s):



4(b). Is there a non-inventor who witnesses	I the conception? If so, please identify him/her	and attach any documents
which evidence the witnessing.		•

no

Witness Name:

Witness Phone: Document(s):

5(a). To the best of your recollection, what is the earliest date on which the invention was reduced to practice (i.e. made)? Who reduced the invention to practice. Attach documents which evidence the foregoing. If no reduction to practice, type "n/a".

First Practice Date: 10-16-00

Who reduced it to practice?: Richard Olzak Document(s):

5(b). Is there a non-inventor who corroborated the reduction to practice? If so, please identify him/her, the corroborating activity (i.e., over-the-shoulder corroboration or repeating the experiment), and the date of the activity. Attach documents which evidence the foregoing.

Non-inventor corroborator?:

First

no

Corroborator

First Corroborator Phone:

Name:

First Practice

Corroboration Date:

First Practice Corroborator Activity:

10-15-00

TYCO Internatinal fabricated the part only.

Document(s) related to corroboration event:

5(c). For each example of the invention and each comparative example on which you intend to rely in the patent application, please indicate when the example was generated, who conducted the experiment and where this example is recorded (e.g., volume, page and author or laboratory notebook) and attach a copy of these records. If no example available, type "n/a".

Example(s): 11-9-00

Who conducted the experiment?: Richard Olzak

Where is example recorded?: 623-3948-001 Document(s):

6(a). Did this invention arise in a program that is funded in whole or part by the U.S. Government or another company, or any entity other than Honeywell?

No

6(b). If so, please identify the program (including government contract number, if applicable) and the entity sponsoring the program and provide a copy of any agreement between the parties concerning the program.

Outside Funding Program:

Contract Number (if applicable):

Outside Funding Entity:

Document(s) related to funding agreement:

7(a). To your knowledge, is this invention subject to any agreement between Honeywell and a third party (e.g., a secrecy agreement, license agreement, joint development agreement, etc.)? NO



Third party agreement ID: Third party name:  Document(s) related to any third party agreement:		
8. You have a duty to disclose to the U.S. Patent and Trademark Office all relevant prior art of which you are awar Please list all such prior art (e.g., patents, publications, brochures, Honeywell and third-party products) known to you. If a prior art search has been conducted, it must be included. Briefly indicate how this invention is different from the prior art. See 1 and 2 above. List of prior art:		
How invention is different from the prior art:		
9(a). Has the product or process which is the subject of this invention disclosure been disclosed, sold or offered for sale to anyone outside of Honeywell or to the general public.  NO		
9(b). If so, when and to whom was it disclosed, sold or offered for sale? If it was disclosed, was a secrecy agreement in place? Attach documents which evidence the sale or offer for sale.		
Date it was disclosed:		
Whom disclosed to:		
Disclosure Sales Agreement?:  Document(s) which evidence the sale or offer for sale:		
9(c). Does the business intend to disclose, sell or offer to sell the invention to anyone outside of Honeywell or to the general public in the near future? If so, to whom and when is this disclosure, sale or offer for sale planned?  NO  For whom are future sales planned:  Date future sale is planned:		
10(a). Does this invention relate to any other: (i) issued patents, (ii) pending patent applications, or (iii) previously submitted invention disclosures, of Honeywell?		
10(b). If so, please identify the related matter and indicate whether this is an improvement on an earlier invention: Other patents related matter is:		
Is this an improvement?:		
11. Please specify the product(s) to which this invention disclosure relates.		
12. Please indicate keywords for identifying this invention disclosure.		

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Suic	$\circ$	

Only one witness signature is required.  Witness (7)	Name: Richard A. OLZAK
Witness Nam: MEVEN, V. LAWSON  Witness Signature: Menn J. Raturator  Date: 11-29-2000	Inventor Signature: Subant Q Open  Date: 11-29-2000
Inventor Name:	Inventor TEHMOSP KHAN
Inventor Signature:	Inventor Signature: Jehnespulhan
Date:	Date: Nov 29, 2000
Inventor Name:	Inventor Name:
Inventor Signature:	Inventor Signature:
Date:	Date:
Inv ntor Name:	Inventor Name:
Inv ntor Signature:	Inventor Signature:
Date:	Date:

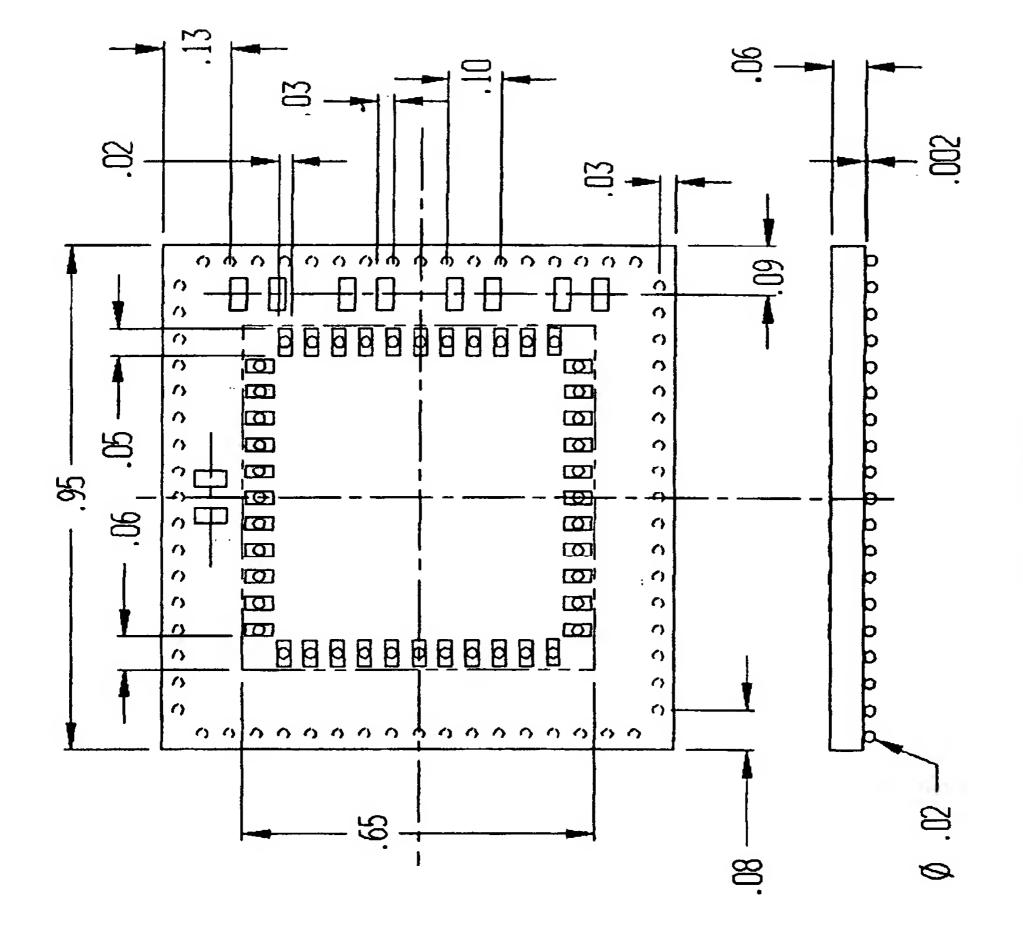
Jeanne C Suchodolski

Send to:

15001 NE 36th St., PO Box 97001 Redmond, WA 98073-9701



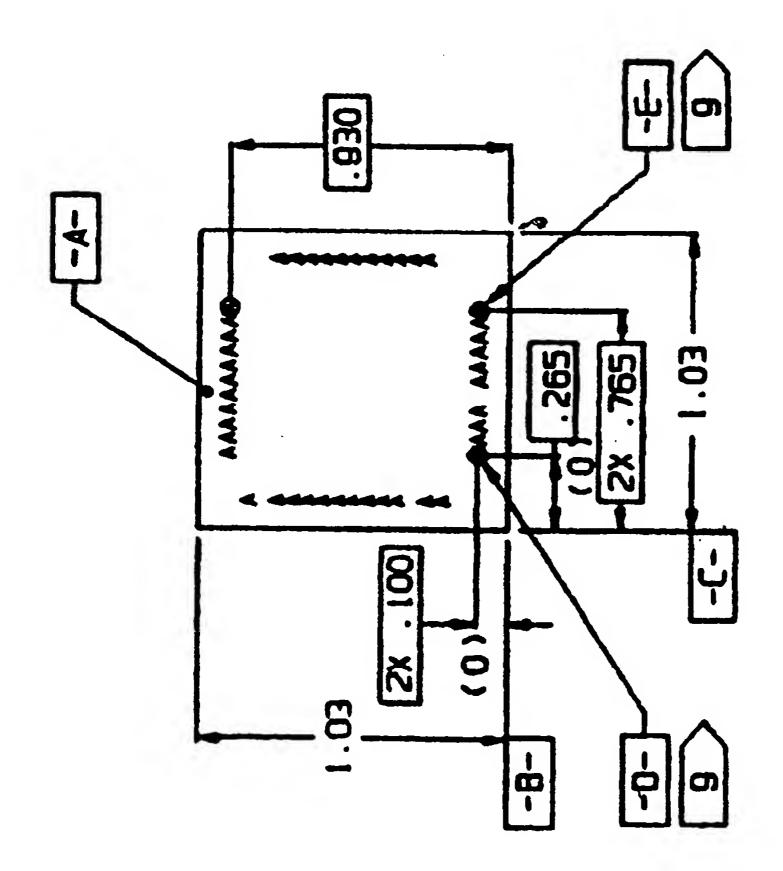
ADAPTOR FOR 68 TO 44 PIN PLCC



NOTE: SPACING .05

H6.2

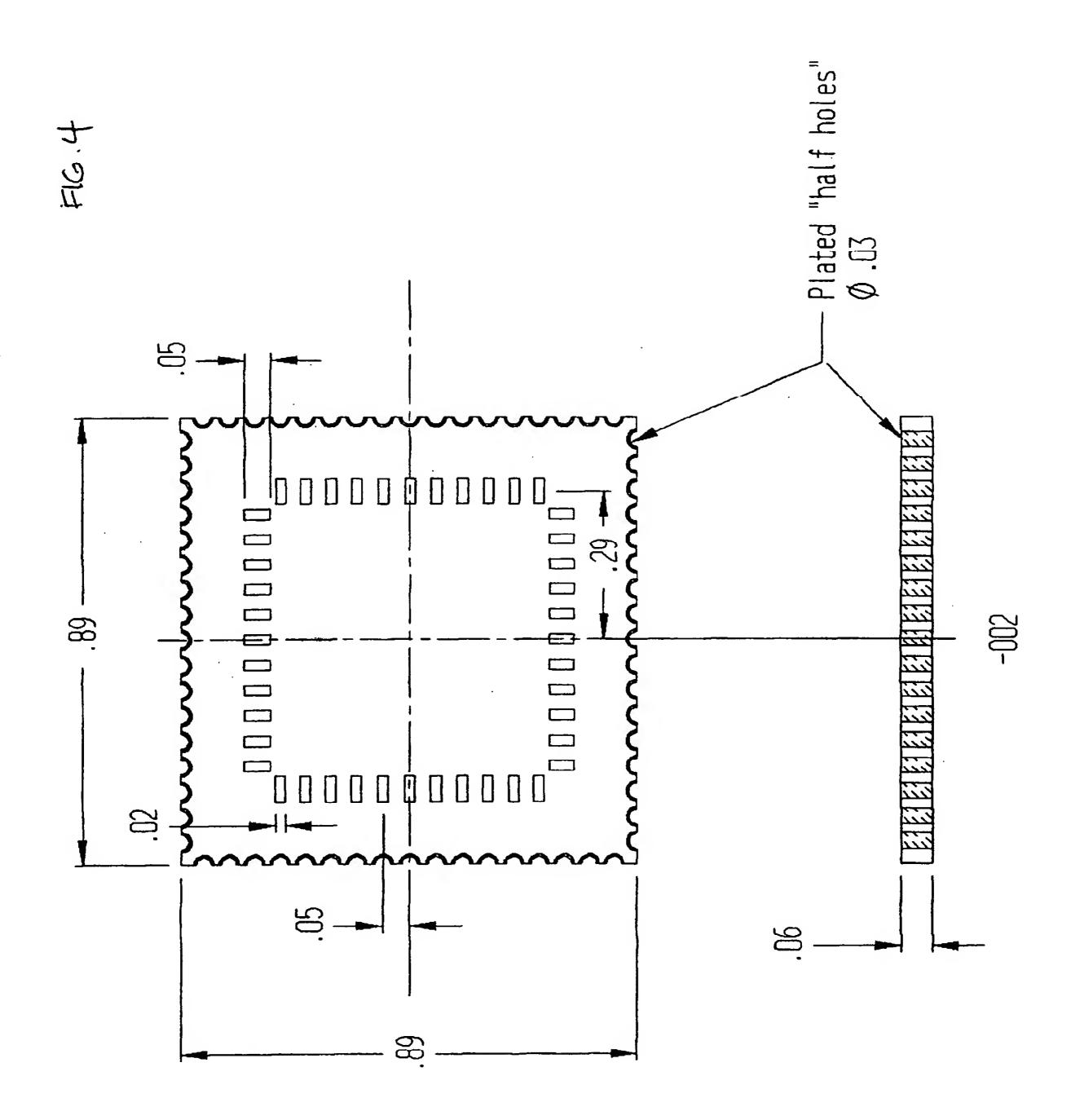




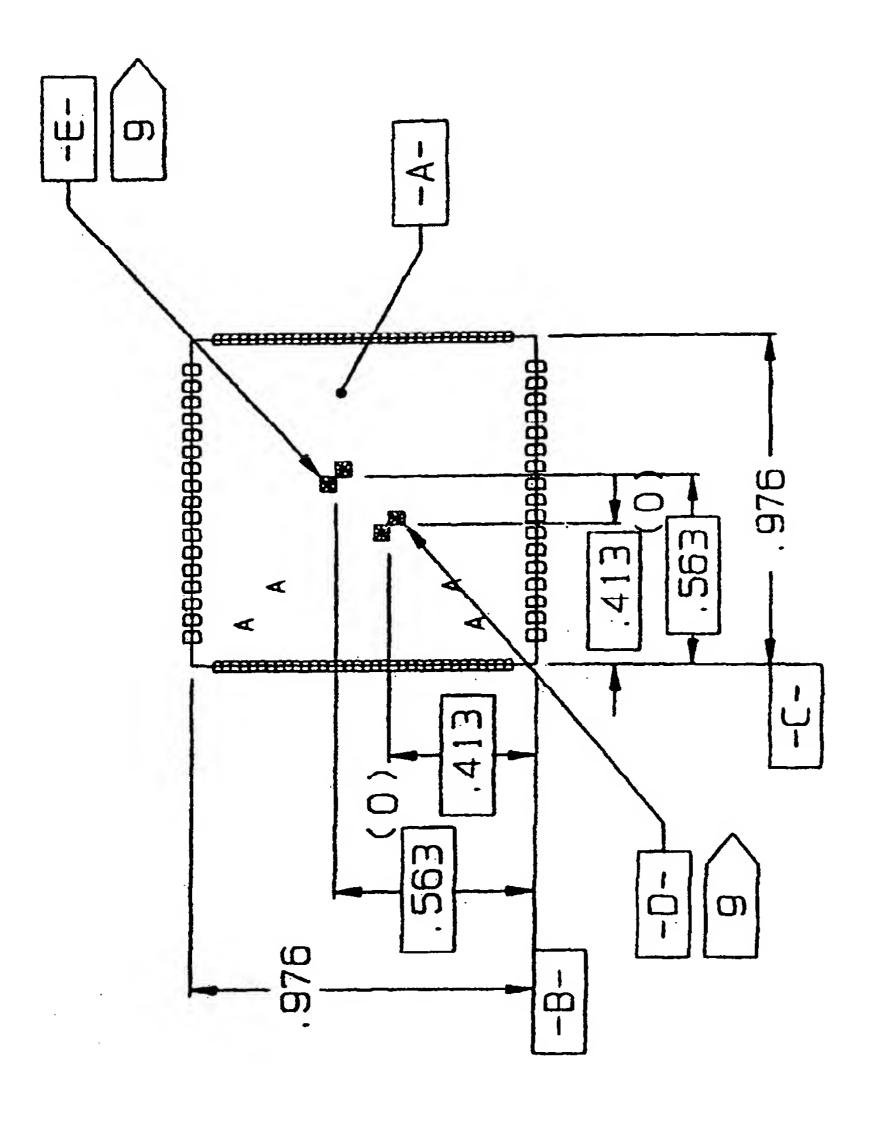
ORILL PATTERN (VIEWED FROM PRIMARY SIDE)



0 F16.3 £.007 .062 PACS > VER 1 (SIGNAL, 104 SMT PADS) (PRIMARY SIDE) (SIGNAL, 68 SHT F (SECONDARY SIDE) LAMINATION SECUENCE (+2N)LAYER 3 (GND) ~ LAYER LAYER 4







ORILL PATTERN (VIEWED FROM PRIMARY SIDE)



F16.6

